

SPTF Social Investor Working Group



*Monthly investor call
December 15, 2021*

Before we get started

Connecting to audio

- Select “Audio” in the upper left-hand corner.
- Select “Audio connection” from the dropdown menu.

Muting yourself

- All participants have been muted upon joining.
- To unmute, click the red microphone icon to the right of your name.
- When you’re not speaking, please keep yourself on mute to minimize background noise.

Chat your questions

- The chat box is located on the bottom right side of your screen.
- You can send your comments and questions to everyone or send them directly to Social Performance Task Force.

Recording and minutes

- The session is being recorded. The recording and minutes will be available on <https://sptf.info/working-groups/investors>.

Discussion Agenda

16:30 – 16:35 Introduction

16:35 – 16:55 CP Pathway Review, ALINUS and Universal Standards 3.0 time line options and preferences for investors

16:55 – 17:15 Other topics (SFDR, new regulatory initiative)

17:15 – 17:30 Year in Review, Format of Monthly call and 2022 Priorities

Discussion Agenda

16:30 – 16:35 Introduction

16:35 – 16:55 CP Pathway Review, ALINUS and Universal Standards 3.0 time line options and preferences for investors

16:55 – 17:15 Other topics (SFDR, new regulatory initiative)

17:15 – 17:30 Year in Review, Format of Monthly call and 2022 Priorities

CP Pathway Achievements since launch

- 44 FSPs Committed to Client Protection
- 20 Certified FSPs publicly listed on our website
- Dozens of investors signed the joint statement
- Created communications kits for partners to promote the CP Pathway
- Hosted 2 webinars as part of the Client Protection Pathway Implementation Series; 3rd will be on Jan 19th



Financial Service Providers Committed to Client Protection
Updated December 3, 2021

Financial Service Provider	Client Protection Evaluations Completed	Client Protection Certification Status	Organizational Contact
4G Capital Country: Kenya	<ul style="list-style-type: none"> External CP Assessment, 2019 CP Self-Assessment Tool (GSQ), 2018 		Genevieve Hennessy-Barrett
AHSEFIN Country: Honduras	<ul style="list-style-type: none"> CP Self-Assessment Tool (GSQ), 2021 SPI4, 2020 ALINUS, 2019 		Elibaldo Acosta
Al Majmoua Country: Lebanon	<ul style="list-style-type: none"> Client Protection Certification, 2019 SPI4, 2020 CP Self-Assessment Tool (GSQ), 2019 ALINUS, 2019 	Level: Gold Year: 2019	Youssef Fawaz
Alalay Sa	<ul style="list-style-type: none"> SPI4, 2018 		

Signatories:



Discussion Agenda

16:30 – 16:35 Introduction

16:35 – 16:55 CP Pathway Review, ALINUS and Universal Standards 3.0 time line options and preferences for investors

16:55 – 17:15 Other topics (SFDR, new regulatory initiative)

17:15 – 17:30 Year in Review, Format of Monthly call and 2022 Priorities

Discussion Agenda

16:30 – 16:35 Introduction

16:35 – 16:55 CP Pathway Review, ALINUS and Universal Standards 3.0 time line options and preferences for investors

16:55 – 17:15 Other topics (SFDR, new regulatory initiative)

17:15 – 17:30 Year in Review, Format of Monthly call and 2022 Priorities

SIWG 2021 – Main achievements

SIWG hosted **9 webinars** this year (average of 25 participants). Main achievements:

- The CP Pathway – investor and rater inputs
- The new Universal Standards 3.0
- The new Green Dimension of the US 3.0

SFDR working group – **7 webinars** (between 15 and 25 participants):

- Sector response on main SFDR challenges for **DG-FISMA at EC**
- Sector response with eMFP and GIIN on **EC Social Taxonomy** consultation
- Coordinate data collection processes for PAI reporting

Geneva investor meeting and ALINUS

A special thank you to our paying members!



At a moment where we fundraise with new donors we need your contribution more than ever

Thank you!